



Broker Accreditation Application

Thanks for your interest in becoming an accredited home loans broker with us. To apply for broker accreditation, please complete this application form and provide the following documentation from the *Document Checklist*.

Document Checklist

1. Completed and signed Broker Accreditation Application form.
2. A copy of your current photo ID. This can be:
 - a. Driver's licence (a copy of the digital licence is not acceptable)
 - b. Passport
 - c. Proof of age or identity photo card issued by an Australian state or territory (confirming address and date of birth)
3. A copy of your Criminal Record check (Police check) dated within 90 days of your application. Criminal Records are accepted from the following approved providers:
 - a. Australian Federal Police
 - b. Australian State or Territory Police
 - c. Australian Criminal Intelligence Commission (ACIC) accredited bodies
4. A copy of your Credit Report from an established credit reporting agency dated within 90 days of your application
5. Copy of your current industry body membership certificate (FBAA or MFAA)
6. Copy of your letter of separation if you have transferred aggregator groups recently.

Accreditation won't be considered in the following circumstances:

- a. If you have a serious criminal record
- b. If you have a current or previous bankruptcy or debt agreement (Part IX / Part X)
- c. If you have ever been banned or disqualified by ASIC

What you need to do

Please email your accreditation form along with any supporting documents to your aggregator. They'll then submit your application on your behalf.

If we need any more information, we'll contact your aggregator.

New to Macquarie?

Please look out for an invitation to complete broker accreditation training if you're new to Macquarie or haven't completed training recently.

Next steps

Once you've completed your training, your accreditation will be processed. This can sometimes take up to seven business days.

When you're successfully accredited, you'll receive a welcome email with further instructions.

We look forward to working with you and assisting your clients with their home loan needs.

1 Broker Background

1.1 Personal Details

Title

First name Middle name

Surname

Preferred name

Any previous names

Date of birth

Email address

Business phone Mobile

1.2 Business Details

Aggregator group

Sub-Aggregator (if applicable)

Your Company Name / ACN (if applicable)

Business Name (Market Facing Name)

Broker address

1.3 Have you ever worked for Macquarie?

No Yes

If Yes, please provide details i.e. year of departure, role and division.

2 Broker Accreditation Details

2.1 Previous Accreditations

Have you ever held a Macquarie accreditation previously (home loans, vehicle finance, commercial)?

No Yes

If Yes, please provide details including when your previous accreditation ended, and your previous Macquarie Access Code or Broker Code (if known).

2.2 Why are you seeking accreditation with Macquarie?

2.3 Do you have an existing relationship with a Macquarie BDM?

No Yes

If Yes, who?

If No, would you prefer a field or phone based BDM?

Field Phone

3 Broker Business Details

Help us understand your business

3.1 Who is your target market?

3.2 What referral sources do you use?

3.3 Please provide some details on your current volume and the lenders you deal with

Lender	<input type="text"/>
Deals per month	<input type="text"/>
Reasons for using that Lender	<input type="text"/>

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3.4 Please provide some details on your appropriate mix of business, per month

Owner Occupied	<input type="text"/>
Professionals (medico, etc)	<input type="text"/>
Investor	<input type="text"/>
Commercial	<input type="text"/>
Superannuation	<input type="text"/>
Aged Care	<input type="text"/>
Asset Finance	<input type="text"/>
Non-conforming	<input type="text"/>
Other (please specify)	<input type="text"/>

4

Licence Details

4.1 Please provide some details about your banking and financial experience

4.2 How long have you been a mortgage broker?

If less than 2 years, your mentor must be on your Aggregator's approved Mentor List.

Please provide details of your mentor.

Are you and your Mentor part of the same Aggregator group?

No Yes

4.3 Please mark the applicable box

I am a Credit Representative (CR) of a holder of an Australian Credit Licence

ACL registered name

ACL number

CR name

CR number

I have been issued with an ACL

ACL registered name

ACL number

I am an employee / director of a holder of an ACL

ACL registered name

ACL number

5 Broker Declaration

5.1 Are you currently a member of the Australian Financial Complaints Authority (AFCA)?

Yes No

5.2 Which Industry Association are you currently a member of?

FBAA MFAA

5.3 What date did you last complete AML/CTF training?

Date (DD/MM/YYYY)

5.4 Have you ever been declared bankrupt, subject to a debt agreement (Part IX or X) or been subject to control under the Bankruptcy Act 1966?

No Yes If Yes, we are unable to accept your accreditation request

5.5 Have you ever been found guilty of a criminal offence involving fraud, dishonesty or money laundering?

No Yes If Yes, please provide details below

5.6 Have you ever had an application for the issuance or renewal of an ACL or CR refused?

No Yes If Yes, please provide details below

5.7 Have you ever been disqualified by a Bank, Financial Institution, Aggregator or Regulator?

No Yes If Yes, please provide details below

5.8 Have you ever had an Industry Association decline any membership application or withdraw your accreditation membership?

No Yes If Yes, please provide details below

5.9 Are you aware of anything else that may impact your accreditation?

No Yes If Yes, please provide details below

By signing below, I authorise Macquarie to contact

- The HR Department of Macquarie Group and/or previous line management (if prior employment with Macquarie exists)
- Your Mentor if you are currently participating in a mentorship program

Name

Signed by broker

Date (DD/MM/YYYY)

This broker accreditation form has been prepared by Macquarie Bank Limited ABN 46 008 583 542 AFSL and Australian Credit Licence 237502. This document is confidential and is for prospective brokers only.

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